

State of Illinois Uniform Notice of Funding Opportunity (NOFO)
Summary Information

Awarding Agency Name	Commerce And Econ Opp
Agency Contact	Travis Grupe (CEO.CEJACommTransition@illinois.gov)
Announcement Type	Initial
Type of Assistance Instrument	Grant
Funding Opportunity Number	2023-44-3
Funding Opportunity Title	Energy Transition Community Grant Program
CSFA Number	420-35-3071
CSFA Popular Name	Energy Transition Community Grant Program
Anticipated Number of Awards	0
Estimated Total Program Funding	\$40,000,000
Award Range	\$50000 - \$0
Source of Funding	State
Cost Sharing or Matching Requirements	No
Indirect Costs Allowed	Yes
Restrictions on Indirect Costs	No
Posted Date	12/13/2022
Application Date Range	12/13/2022 - 01/20/2023 : 5:00PM
Grant Application Link	Please select the entire address below and paste it into the browser... https://dceo.illinois.gov/aboutdceo/grantopportunities/3071-2449.html
Technical Assistance Session	Offered : Yes Mandatory : No Date : 12/16/2022 : 10:00AM Registration link : https://illinois.webex.com/illinois/ldr.php?RCID=a1ec7cf656417d6931428d2d444ba0c3

Agency-specific Content for the Notice of Funding Opportunity 2022-2023 Energy Transition Community Grant Program NOFO ID: 3071-2449

For your application to be considered, your entity must be pre-qualified. For more information on pre-qualification, please visit <https://dceo.illinois.gov/aboutdceo/grantopportunities/granteeresources.html>.

A. Program Description

Notice of Funding Opportunity Intent

The Illinois Department of Commerce and Economic Opportunity (the “Department”) is issuing this Notice of Funding Opportunity to establish the Energy Transition Community Grant Program, which provides grants to support economic development in communities impacted by a closure or reduced operation of a fossil fuel power plant, coal mine, or nuclear plant.

Program Description

The Energy Transition Community Grant Program will provide grants to promote economic development to communities that are in an area with a closure or reduced operation of a fossil fuel power plant, coal mine, or nuclear plant.

Grants must be used to plan for or address the economic and social impact on the community or region of plant or mine retirement or transition. Eligible uses of grant funds include, but are not limited to, the following:

- Workforce development, including upskilling and reskilling for high-demand/high-wage opportunities in regional industries, and investment in training facilities. This may include tuition assistance and wrap-around services for trainees, including stipends for housing, transportation, and childcare.
- Financial assistance for unemployed, underemployed, and/or low-income residents, including direct cash benefits, childcare, housing, transportation, and health care.
- Public infrastructure investment, including water/sewer, transportation, drainage, broadband, public facilities, and other.
- Site cleanup and environmental remediation.
- Grants to incentivize relocation or retention of companies.
- Marketing and other investment to boost tourism, recreation, and business development opportunities.
- Affordable housing.
- Public health initiatives and community health care facilities.
- Downtown/commercial redevelopment, including investment to enhance public space, improve energy efficiency/sustainability of facilities or infrastructure, and renovate/revitalize historic buildings.
- Planning, along with supporting research and analysis, for economic development, transportation, or other programs to address the impact of closure.
- Investment in local or regional operational capacity for economic development/business development/grantwriting/planning through local governments or nonprofits.

This opportunity will entail a two-phase application process:

- **In Phase 1**, local governments that meet program eligibility requirements will provide an application to the Department that provides basic information about the entity and their eligibility. After all Phase 1 applications have been received, DCEO will identify whether each Phase 1 applicant is eligible and determine the amount of funds that each entity is eligible for, according to a formula described in “Section B. Funding Information.” The deadline for the Phase 1 application is January 20. **Applicants that are eligible due to a plant or mine closure that occurred in 2016 must provide their Phase 1 application by December 31, 2022.**
- **In Phase 2**, Phase 1 applicants that have been provided an approved funding amount will prepare a more detailed application, with a project narrative and budget that includes specifics on

their intended use of the grant award. The deadline for Phase 2 applications will be April 12, 2023.

Prior to submitting a Phase 2 application, grantees will be required to solicit community input and consultation with a diverse set of stakeholders, including, but not limited to: Regional Planning Councils, where applicable; economic development organizations; low-income or environmental justice communities; educational institutions; elected and appointed officials; organizations representing workers; and other relevant organizations.

Program History

The Energy Transition Community Grant Program was created by the Climate and Equitable Jobs Act (CEJA, P.A. 102-0662), signed into law by Governor Pritzker on September 15, 2021. DCEO's role in CEJA is to help Illinois' workforce prepare for and train for jobs in the clean energy industry, and to provide support to workers and communities facing plant and mine closures.-

This is the first NOFO for this program. Under current law and subject to appropriation, new funding opportunities for this program will be available annually through the year 2045.

Performance Goals and Measures

Grants must be used to plan for or address the economic and social impact on the community or region of plant retirement or transition. Specific performance goals and measures will depend on the proposed grantee project. We will request that applicants provide proposed performance goals and measures for approval as part of their project narrative in their Phase 2 application.

B. Funding Information

This grant program is utilizing state funds appropriated from the Energy Transition Assistance Fund by Public Act 102-698. Total amount of funding expected to be awarded through this NOFO is \$40,000,000, less any funds awarded to taxing authorities for a nuclear plant that was decommissioned, but continues storing nuclear waste, if those funds will be provided the same fiscal year. The minimum award will begin at \$50,000.

Anticipated start date for awards is May 1st, 2023. The period of performance is expected to be 1 to 3 years, but may exceed this if needed for a proposed eligible use of grant funds.

Grants must be used to plan for or address the economic and social impact on the community or region of plant retirement or transition. Grant award amounts are calculated by formula, and actual funding amounts will vary per applicant. Award calculations are based on both employment reduction levels and loss of property tax/PILOT revenues that correspond to the closure or reduced operation of a fossil fuel power plant, coal mine, or nuclear plant as reported in Phase 1 of the application process.

Funding Formula (determined for each eligible applicant following Phase 1)

- Each eligible entity's award will be \$50,000 + the "job loss" factor + the "revenue loss" factor
- "Job Loss" factors will be allocated to eligible applicants in the following manner
 - o Divide 1/3 of funds remaining after allocating \$50,000 to all eligible applicants by the aggregate amount of jobs lost across all eligible plants/coal mines for which eligible applicants have provided an application, to estimate a per-job index¹
 - o Multiply this per-job index by the number of jobs lost for each eligible plant/coal mine corresponding to each eligible entity that applied
 - o If multiple entities have applied for the same plant/mine, divide this amount among those entities on a per-capita basis

¹ "Job loss" will be the reduction in jobs at the closed plant or mine since the beginning of 2016, including anticipated loss at plants or mines that have announced a future reduction in operations or closure. Job loss will be determined by the Department based on the best available information, which may include news reports, statements by the plant or mine operator or owner, WARN notices, and other.

- If an applicant is eligible based on more than one plant/mine, sum this amount across all plants/mines for that applicant
- “Revenue Loss” factors will be allocated to eligible applicants in the following manner
 - Divide 2/3 of funds remaining after allocating \$50,000 to all eligible applicants by the aggregate amount of property tax/PILOT revenue lost across all eligible plants/coal mines for which eligible applicants have provided an application to estimate a per-dollar-of-revenue-lost index²
 - Multiply this per-dollar-of-revenue-lost index by the property tax/PILOT revenue lost for each eligible entity that applied
- When more than one government entity is included in an application, this amount will be calculated for each distinct entity and then aggregated across those entities.
- For this year only, the job loss and revenue loss will be doubled in the funding formula for the entities that are eligible based only on closures that occurred in 2016 and 2017, since this is the final year for which those entities will be eligible to apply for the grant program.

Grant costs are authorized to procure third-party vendors for grant writing and implementation costs, including for guidance and opportunities to apply for additional federal, State, local, and private funding resources. If the application is approved, one -time reimbursable costs to apply for the Energy Transition Community Grant are authorized up to 3% of the award.

In the Phase 2 application, applicants must submit a project narrative that describes in detail how the award will be executed. The project narrative should include enough information for DCEO to understand the scope of the project, the budget, including a detailed breakdown of the costs associated with each budget line and any additional necessary detail to enable DCEO to manage the grant agreement activity against planned project performance. The Project Narrative must include evidence of capacity, quality and need as defined in Section E.1.

The release of this NOFO does not obligate the Department to make an award.

C. Eligibility Information

An entity must be registered in the Grant Accountability and Transparency Act (GATA) Grantee Portal, <https://grants.illinois.gov/portal/>, at the time of grant application. The portal will verify that the entity:

- Has a valid FEIN number (<https://www.irs.gov/individuals/international-taxpayers/taxpayer-identification-numbers-tin#:~:text=You%20can%20use%20the%20IRS%27s,for%20Individual%20Taxpayer%20Identification%20Number>);
- Has a valid UEI number (<https://sam.gov>)
- Has a current SAM.gov registration (<https://sam.gov>);
- Is not on the Federal Excluded Parties List (verified at <https://sam.gov>);
- Is in Good Standing with the Illinois Secretary of State, as applicable (https://www.cyberdriveillinois.com/departments/business_services/corp.html);
- Is not on the Illinois Stop Payment list (verified once entity is registered in GATA Grantee Portal); and
- Is not on the Department of Healthcare and Family Services Provider Sanctions list (<https://www.illinois.gov/hfs/oig/Pages/SanctionsList.aspx>).

² “Revenue loss” will be based on property tax/PILOT revenues from parcels on which the relevant plant or mine operations are located. The loss will be based on the difference between taxes collected in the most recent year available, and the year 6 years prior to the most recent year available. This information will be provided by applicants in their Phase 1 application.

Marking SAM.gov registrations as “public” will allow the GATA Grantee Portal to expedite the review of the federal information. Making the SAM.gov registration “private” will not prevent the review; however, it will slow down the review process.

Entities on the Illinois Stop Payment List and/or the Federal Excluded Parties List at time of application submission will not be considered for an award.

An automated email notification to the entity alerts them of “qualified” status or informs how to remediate a negative verification (e.g., not in good standing with the Secretary of State). A federal Debarred and Suspended status cannot be remediated.

Pursuant to the policy of the Illinois Office of the Comptroller, to receive grant funds from the State of Illinois, a grantee must be considered a regarded entity by the IRS for federal income tax purposes. Disregarded entities will not be eligible to receive grant funds.

1. Eligible Applicants include:

Eligible applicants include:

Local units of government, including municipalities, counties, school districts, and other taxing districts, within 30 miles of a closed or closing plant/mine.

Communities eligible to receive these grants must meet one or more of the following:

1. The area contains a fossil fuel or nuclear power plant that was retired from service or has significantly reduced service within 6 years before the application for designation or will be retired or have service significantly reduced within 6 years following the application for designation;
2. The area contains a coal mine that was closed or had operations significantly reduced within 6 years before the application for designation or is anticipated to be closed or have operations significantly reduced within 6 years following the application for designation.

See “Section H” for a list of plants or mines that meet this criteria and their host city or county.

Local units of governments in eligible areas may join with any other local unit of government, economic development organization, local educational institutions, community-based groups, or with any number or combination thereof as subgrantees to apply for the Energy Transition Community Grant. An eligible local unit of government must be the lead grantee. A change in subgrantees is permitted following Phase 1 and prior to Phase 2. The funding award amounts attributable to each local government entity will be determined following Phase 1 and cannot be altered, but may be combined with or separated from other lead/subgrantees if the composition of the coalition applying under the same grant application changes between Phase 1 and Phase 2.

For applications where the lead grantee is not the city or village that hosts or has hosted the closed or closing plant or mine, a resolution of support for the project from the city or village that hosts or has hosted electric generating plant is required to be submitted with the Phase 1 application. For plants or mines in unincorporated areas, this letter of support must be provided by the county.

The Department complies with all applicable provisions of state and federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to: The Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), The Public Works Employment Discrimination Act (775 ILCS 10/1 et seq.), The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a-and 2000H-6), Section 504 of the Rehabilitation Act of 1973 (29 USC 794), The Americans with Disabilities Act of 1990 (42 USC 12101 et seq.), and The Age Discrimination Act (42 USC 6101 et seq.).

2. Cost Sharing or Matching.

Cost sharing or matching is not required.

3. Indirect Cost Rate.

In order to charge indirect costs to a grant, the applicant organization must have an annually negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

a) Federally Negotiated Rate. Organizations that receive direct federal funding, may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.

b) State Negotiated Rate. The organization may negotiate an indirect cost rate with the State of Illinois if they do not have a Federally Negotiated Rate. If an organization has not previously established an indirect cost rate, an indirect cost rate proposal must be submitted through State of Illinois' centralized indirect cost rate system no later than three months after receipt of a Notice of State Award (NOSA). If an organization previously established an indirect cost rate, the organization must annually submit a new indirect cost proposal through CARS within six to nine months after the close of the grantee's fiscal year, depending on the grantee's audit type requirements.

c) De Minimis Rate. An organization may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the De Minimis Rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the De Minimis Rate.

All grantees must complete an indirect cost rate negotiation or elect the De Minimis Rate to claim indirect costs. Indirect costs claimed without a negotiated rate or a De Minimis Rate election on record in the State of Illinois' centralized indirect cost rate system may be subject to disallowance.

Grantees have discretion and can elect to waive payment for indirect costs. Grantees that elect to waive payments for indirect costs cannot be reimbursed for indirect costs. The organization must record an election to "Waive Indirect Costs" into the State of Illinois' centralized indirect cost rate system.

State Universities may request an indirect cost rate of 10% due to the State of Illinois' continuous funding of a portion of facility and administrative costs.

4. Other, if applicable.

Applicants may submit one application for this opportunity. Only applicants that have received approval following a Phase 1 application may submit a Phase 2 application for consideration.

D. Application and Submission Information

1. Address to Request Application Package.

Grant application forms are available at the web link provided in the "Grant Application Link" field of this announcement or by contacting the Program Manager:

Travis Grupe
Illinois Department of Commerce & Economic Opportunity
607 E Adams
Springfield, IL 62701
Email: **CEO.CEJACommTransition@illinois.gov**

2. Content and Form of Application Submission.

A standard application package must be submitted and reviewed by the Department. Each package should contain the following items:

In Phase 1:

- ☐ Uniform Grant Application in fillable PDF format. Signature page must be printed, signed, scanned and submitted with application.
- ☐ Conflict of Interest Disclosure
- ☐ Mandatory Disclosures
- ☐ Phase 1 Application in fillable PDF format, as described below

In Phase 2 (only upon approval following Phase 1 application):

- ☐ Phase 2 Application in fillable PDF format, as described below
- ☐ Uniform Budget utilizing the template provided by DCEO for this project. The entire budget with all worksheets included even if the worksheets are not relevant to the grant opportunity must be submitted with the application materials. Signature page must be printed, signed, scanned and submitted with application.

Phase 1 Application

- a. Name of local government or organization(s)
 - a. If multiple local governments or organizations, please specify lead entity and provide letter from each non-lead entity confirming their role
- b. Contact for follow-up regarding this Notice of Funding Opportunity, including name, title, e-mail address, mailing address, and phone number
- c. Fossil fuel plant(s), coal mine(s), or nuclear plant(s) in the area that have closed or significantly reduced operations in the past 6 years or have announced closure in the next 6 years
- d. List of parcels that the physical plant(s) or mine(s) listed in (c) are located on, as well as property tax revenue collected for each of those parcels by each local government entity with jurisdiction in the most recent year available, and in the year 6 years prior.
- e. Letter(s) of support from host city, village, or county, if applicable. If the applicant is not the host city or village (or in an unincorporated area, host county) for an eligible fossil fuel plant, coal mine, or nuclear plant, the applicant must acquire a letter of support from the host city or village (or in an unincorporated area, host county) for each plant or mine on the list described in (d) above.

Phase 2 Application

- a. Cover page including:
 - i) Name of organization(s)
 - ii) If multiple organizations, please specify lead entity
 - iii) Contact for follow-up regarding this Notice of Funding Opportunity, including name, title, e-mail address, mailing address, and phone number
- b. Detailed Project narrative, including
 - a) A detailed description of the proposed project, including:
 - i) A detailed description of what the project will entail and who will be responsible for project administration and implementation
 - ii) For a capital project:
 - (1) How the capital project addresses a current deficiency and/or entails an investment that will support economic development

- iii) If it is a program involving beneficiaries or trainees:
 - (1) How program will be staffed and/or use of third-party vendors or contracts to support or administer the program
 - (2) Who is eligible to participate
 - (3) Plan for outreach, technical assistance, and support
 - (4) How the program will reach populations that are historically underserved and/or most impacted by closures
- iv) Timeline for implementation
- v) How the project will address the economic and social impacts of plant or mine closure
- vi) How the project is in line with local or regional economic development goals or addresses local or regional economic/social needs
- b) A description of the stakeholder process undertaken to arrive at this proposal, including:
 - i) a description of how input was solicited and received (e.g. public meetings, hearings, etc.)
 - ii) A list of specific organizations and/or individuals that provided input.
 - iii) Note: CEJA requires that these projects include community input and consultation with a diverse set of stakeholders, including, but not limited to: Regional Planning Councils, where applicable; economic development organizations; low-income or environmental justice communities; educational institutions; elected and appointed officials; organizations representing workers; and other relevant organizations.
- c) Metrics to assess the impact of the project, and associated goals for each metric. We will request that the grantee provide reports on these metrics over the course of the project.
- d) A summary of the detailed budget provided through the Uniform Budget Template. Note that the amount of funding available for each eligible entity will be provided following Phase 1.

3. Unique Entity Identifier (UEI) and System for Award Management (SAM)

Each applicant (unless the applicant is an individual or Federal or State awarding agency that is exempt from those requirements under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal or State awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in the System for Award Management (SAM) before submitting its application. To establish a SAM registration, go to www.SAM.gov and/or utilize this instructional link: [How to Register in SAM from the www.grants.illinois.gov Resource Links tab](#). Please note, making SAM.gov registrations “public” will expedite the GATA Grantee Portal pre-qualification process.
- (ii) Provide a valid UEI number in its application, which matches the UEI number used in both the applicant entity’s SAM registration and GATA Grantee Portal registration.
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal, Federal pass-through or State award or an application or plan under consideration by a Federal or State awarding agency. The State awarding agency may not make a Federal pass-through or State award to an applicant until the applicant has complied with all applicable UEI and SAM requirements and, if an applicant has not fully complied with the requirements by the time the State awarding agency is ready to make a Federal pass-through or State award, the State awarding agency may determine that the applicant is not qualified to receive a Federal pass-through or State award and use that determination as a basis for making a Federal pass-through or State award to another applicant.

4. Submission Dates and Times.

Applications for this opportunity must be submitted by January 20th, 2023 for Phase 1 applications, and April 12th, 2023 for Phase 2 applications. **Applicants that are eligible due to a plant or mine closure that occurred in 2016 must provide their Phase 1 application by December 31, 2022.**

Application materials must be submitted to the Department via electronic form at <https://app.smartsheet.com/b/form/77da27d5fb864093ab5b39d4fc4e5e9d>.

The Department is under no obligation to review applications that do not comply with the above requirements.

Failure to meet the application deadline may result in the Department returning application without review or may preclude the Department from making the award.

5. Intergovernmental Review, if applicable.

If the funding opportunity is subject to federal Executive Order 12372, "Intergovernmental Review of Federal or State Programs (<https://www.archives.gov/federal-register/codification/executive-order/12372.html>)," the notice must say so. In alerting applicants that they must contact their state's Single Point of Contact (SPOC) to find out about and comply with the state's process under Executive Order 12372. If applicable, inform potential applicants that the names and addresses of the SPOCs are listed in the Office of Management and Budget's Web site. If not applicable, enter "N/A."

6. Funding Restrictions.

This opportunity does allow reimbursement of pre-award costs. Grants must be used to plan for or address the economic and social impact on the community or region of plant retirement or transition. Other restrictions can be found in Sections B. and C.3.

7. Other Submission Requirements.

Documents stored in Google Docs or other cloud-based servers are not allowed.

Applicants may confirm receipt of the application and documents by contacting the program contact listed in this NOFO.

E. Application Review Information.

1. Criteria.

Grants will be issued annually to eligible communities. It will include a two-phase process:

- Phase 1 Criteria:
 - o Lead applicant is a local government that meets eligibility requirements outlined in Section C.1.
 - o Phase 1 application is complete and sufficiently detailed, as described in Section B.2.
 - o If grantee is not the host city or village for the plant or mine (or host county, in an unincorporated area), Phase 1 application includes a letter of support from the host city or village (or host county, in an unincorporated area).
- Phase 2 Criteria:

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- Lead applicant is an eligible local government entity that received approval and an allocated funding amount from the Department following Phase 1.
- Phase 2 application is complete and sufficiently detailed, as described in Section B.2.
- The applicant has undertaken a stakeholder process to identify proposed use of grant funds, as required by CEJA.
- The proposed project is eligible for funding because it entails planning for or addressing the economic and social impact on the community or region of plant or mine retirement or transition.

2. Review and Selection Process.

Phase 1 applications will be evaluated by the Department for eligibility. The Phase 1 determination of eligibility is subject to appeal. The appeal must be submitted in writing to the Department within 14 calendar days following the publish date of the grant award notice. The written appeal shall include the name and address of the appealing party, the identification of the grant, and the reasons for the appeal. Further information regarding the appeals process may be found on the Grant Opportunities page of the DCEO website:
<https://dceo.illinois.gov/aboutdceo/grantopportunities/meritappeview.html>.

After a determination of eligibility is made in Phase 1, successful applicants will complete the Phase 2 application. The Phase 2 application will outline projects compliant with the funding restrictions and other eligibility requirements detailed above. The funding amount will be awarded based on the contents of the Phase 2 application.

3. Anticipated Announcement and State Award Dates, if applicable.

The Department anticipates sending Notices of State Award (NOSA) approximately 2-4 weeks after receiving Phase 2 applications.

The Department reserves the right to issue a reduced award, or not to issue any award.

F. Award Administration Information

1. State Award Notices.

The Notice of State Award (NOSA) will specify the funding terms and specific conditions resulting from the pre-award risk assessments and the merit-based review process. The NOSA must be accepted in the GATA Portal by an authorized representative of the grantee organization. The NOSA is not an authorization to begin performance or incur costs.

2. Administrative and National Policy Requirements.

COVID-19 Requirements: Due to the COVID-19 public health emergency, to be eligible to receive an award, grant applicants are required to adhere to all applicable executive orders issued by the Governor of Illinois, rules issued by an Illinois state agency, or other directives and/or guidance issued by Illinois state agencies related to the prevention of the spread of COVID-19. These same requirements will apply to grantees in carrying out the activities in the award throughout the award term.

Subrecipients and Subcontractors: Agreement(s) and budget(s) with subrecipients and subcontractors must be pre-approved by and on file with DCEO. Agreements can be submitted to DCEO when available. Subcontractors and subrecipients are subject to all applicable provisions of the Agreement(s) executed between DCEO and the grantee. The successful applicant shall retain sole responsibility for the performance of its subrecipient(s) and/or subcontractor(s).

Grant Uniform Requirements: The Grant Accountability and Transparency Act (30 ILCS 708/1 *et seq.*) (and its related administrative rules, 44 Ill. Admin. Code Part 7000), was enacted to increase the accountability and transparency in the use of grant funds from whatever source and to reduce administrative burdens on both State agencies and grantees by adopting federal guidance and regulations applicable to those grant funds; specifically, the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200).

Procurement: Grantees will be required to adhere to methods of procurement per the Procurement Standards (2 CFR 200.317 – 2 CFR 200.327).

Business Enterprise Program: For grant awards of \$250,000 or more, grantees will be required to comply with the Business Enterprise Program for Minorities, Females, and Persons with Disabilities Act (30 ILCS 575/0.01 *et seq.*), which establishes a goal for contracting with businesses that have been certified as owned and controlled by persons who are minority, female or who have disabilities. The Department and the Capital Development Board will work with the grantees to ensure compliance prior to the establishment of the grant agreement as well as through the life of the grant.

Environmental Review Requirements: Capital grants will be reviewed to determine environmental review requirements. Based on the scope of the project, the grantees may be required to complete additional environmental approvals before a grant agreement can be initiated.

Illinois Works Jobs Program Act (30 ILCS 559/20-1 *et seq.*): For grants with an estimated total project cost of \$500,000 or more, the grantee will be required to comply with the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25) and all applicable administrative rules. The “estimated total project cost” is a good faith approximation of the costs of an entire project being paid for in whole or in part by appropriated capital funds to construct a public work. The goal of the Illinois Apprenticeship Initiative is that apprentices will perform either 10% of the total labor hours actually worked in each prevailing wage classification or 10% of the estimated labor hours in each prevailing wage classification, whichever is less. Grantees will be permitted to seek from the Department a waiver or reduction of this goal in certain circumstances pursuant to 30 ILCS 559/20-20(b). The grantee must ensure compliance for the life of the entire project, including during the term of the grant and after the term ends, if applicable, and will be required to report on and certify its compliance.

Prevailing Wage Act (820 ILCS 130/0.01 *et seq.*): Applicants that are awarded grants shall comply with all requirements of the Prevailing Wage Act, including but not limited to, inserting into all contracts for construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the project shall be paid to all laborers, workers, and mechanics performing work under the award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract. Grantees will be required to report on Prevailing Wage Act compliance on a monthly basis.

Employment of Illinois Workers on Public Works Act (30 ILCS 570/0.01 *et seq.*): All grantees will be required to comply with the Employment of Illinois Workers on Public Works Act (30 ILCS 570/0.01 *et seq.*) (the “Act”), which provides that whenever there is a period of excessive unemployment in Illinois (as defined by the Act), if the Grantee is using Grant Funds for (1) constructing or building any public works, or (2) performing the clean-up and on-site disposal of hazardous waste for the State of Illinois or any political subdivision of the State, then the Grantee shall employ at least 90% Illinois laborers on such project. Illinois laborers refers to any person who has resided in Illinois for at least 30 days and intends to become or remain an Illinois resident. Grantees may receive an exception from this requirement by submitting a request and supporting documents certifying that Illinois laborers are either not available, or are incapable of performing the particular type of work involved. The certification must: (a) be submitted to the grant manager within the first quarter of the Award Term; (b) provide sufficient support that

demonstrates the exception is met; (c) be signed by an authorized signatory of the Grantee; and (d) be approved by DCEO in consultation with the Illinois Department of Labor. In addition, every contractor on a public works project or improvement or hazardous waste clean-up and on-site disposal project in this State may place on such work no more than 3 (or 6 in the case of a hazardous waste clean-up and on-site disposal project) of the contractor's regularly employed non-resident executive and technical experts.

3. Reporting.

Periodic Performance Report (PPR) and Periodic Financial Report (PFR)

Grantees funded through this NOFO are required to submit in the format required by the Grantor, at least on a quarterly basis, the PPR and PFR electronically to their assigned grant manager. The first of such reports shall cover the first three months after the award begins. Pursuant to 2 CFR 200.328, Periodic Financial Reports shall be submitted no later than 30 calendar days following the period covered by the report. Pursuant to 2 CFR 200.329, Periodic Performance Reports shall be submitted no later than 30 calendar days following the period covered by the report. Any additional reporting requirements will be disclosed in the NOSA. Grantees are required within 45 calendar days following the end of the period of performance to submit a final closeout report in the format required by the Grantor (See 2 CFR 200.344).

Monitoring

Grantees funded through this NOFO are subject to fiscal and programmatic monitoring visits by the Department in accordance with 2 CFR 200.337. They must have an open-door policy allowing periodic visits by Department monitors to evaluate the progress of the project and provide documentation upon request of the monitor. Program staff will also maintain contact with participants and monitor progress and performance of the contracts. The Department may modify grants based on performance.

Audit

Grantees shall be subject to Illinois' statewide Audit Report Review requirements. Terms of the Single Audit Act Amendments of 1996 (31 USC 7501-7507), Subpart F of 2 CFR Part 200, and the audit rules set forth under the Grant Accountability and Transparency Act shall apply (See 30 ILCS 708/65(c)).

G. State Awarding Agency Contact(s)

Travis Grupe
Illinois Department of Commerce & Economic Opportunity
507 E Adams
Springfield, IL 62701
Email: CEO.CEJACommTransition@illinois.gov

H. Other Information, if applicable

A list of identified plants/mines that have closed or significantly reduced operations in the past 6 years, or have announced a closure or significant reduction in the next 6 years, can be found below.

Name of Plant/Mine	Host City or County	Closure Year (actual or anticipated)
Creek Paum Mine	Jackson County	2016
Pattiki II	White County	2016
Wood River Power Plant	East Alton	2016
Galatia Mine	Saline County	2017
Gateway Mine	Randolph County	2017

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New Future Portal Mine	Saline County	2017
Coffeen	Montgomery County	2019
Duck Creek	Fulton County	2019
Havana	Mason County	2019
Hennepin	Hennepin	2019
Wildcat Hills Mine Complex	Saline County	2019
SIPC – Lake of Egypt	Williamson County	2020 (significant reduction, not closure)
City Water, Light & Power	Springfield	2021 (significant reduction, not closure)
E.D. Edwards Power Plant	Peoria County	2022
Joppa Steam Plant	Massac County	2022
Waukegan	Waukegan	2022
Will County	Romeoville	2022
Joliet Generating	Will County	2023
Baldwin Generating Center	Randolph County	2025
Kincaid Generation	Christian County	2027
Newton Power Plant	Jasper County	2027
Powerton Station	Tazewell County	2028

Note: This list is based on the best information available to the Department at the time of publishing this NOFO. If you believe your community qualifies based on a plant or mine closure or anticipated closure that is not on this list, you may still provide a Phase 1 application for review.